

BNY Mellon – ADR Cancellation Process

Specifically for DRs currently held in DTC to be cancelled and the underlying shares to be held in local custodian and recorded on the books of the Registrar

PLEASE PROVIDE YOUR BROKER WITH THE INSTRUCTIONS BELOW:

Oasmia Pharmaceutical – ADR cusip#67421W109 –

The underlying shares are held in SEB – Skandinaviska Enskilda Banken

(BNY Mellon Account No. 01001151658) see the bottom of page two for full instructions

This instruction should be coming from the broker currently holding the DR

- 1) Deliver FREE of PAYMENT the DRs via DTC to BNY Mellon DR DTC account #2504.
 - 2) Indicate in the comment field, “See email instructions” OR please note that we can also accept any instructions (see “e” below) that have been sent in the comment field with the DTC shares.
- 2) Broker creates a delivery instructions on company letterhead to send as a PDF attachment and include the following information:
- a) Security Name [Oasmia Pharmaceutical](#)
 - b) CUSIP Number 67421W109
 - c) Settlement Date
 - d) Number of DRs
 - e) Institution where the ordinary shares will be delivered in the local market – Broker or institution that will be receiving/holding the ordinary position
- The Broker will provide this account number and any associated information necessary**
- 3) Email the instructions in the form of a PDF attachment from a group email address where at least one other person is copied on the email to DRinstructions@bnymellon.com. Please note that we are not able to accept an instruction in the body of the email.
 - 4) BNY Mellon will then charge the applicable cancelation fee of \$0.05 per 1 DRs (to be rounded up to the next hundred) plus a \$17.50 cable fee by SPO to the delivering broker. Fees can also be paid in advanced via Fedwire to the following payment details;

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IE: 280 DRs rounded up to nearest hundred= 300 DRs x \$0.05=\$15.00+\$17.50=\$32.50

Bank Name: The Bank of New York Mellon

ABA: 021000018

Beneficiary Name: BNY Mellon Depository Receipts

Beneficiary A/C: GLA111594

DR Business Attn: Kevin Garvey

Ref: Cancellation fee of \$32.50 DRs of Oasmia Pharmaceuticals

For additional information regarding fees, please contact: adrdesk@bnymellon.com This fee information needs to be determined, the shares might be coming back into the DR program?

Once BNY Mellon DR Settlement group receives the DRs, valid instructions fee payment, it will instruct SEB, to deliver FREE the ordinary shares.

- 5) Ensure that your custodian is set up to accept delivery of the ordinary shares from the below BNY Mellon's Swedish custodian:

Skandinaviska Enskilda Banken (SEB)

BNY Mellon Account No. 01001151658

BIC: ESSESESSXXX

PSET: VPCSSSESSXXX

REF: Oasmia Pharmaceutical

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